



## Illinois Department of Natural Resources

One Natural Resources Way Springfield, Illinois 62702-1271  
<http://dnr.state.il.us>

Pat Quinn, Governor  
Marc Miller, Director

December 4, 2014

STRATA-X, INC.  
1550 LARIMER ST., #263  
DENVER, CO 80802

Re: High Volume Horizontal Hydraulic Fracturing Registration

Gentlemen,

This letter is to notify you that your HVHHF Registration form, which was deemed received on **November 14, 2014**, has been approved. According to the Hydraulic Fracturing Regulatory Act and Associated Rules, you may now submit a High Volume Horizontal Hydraulic Fracturing ("HVHHF") Permit Application 30 days after the above receipt date.

Please be aware that you must submit a HVHHF Permit Application and receive an approved permit from DNR prior to beginning any HVHHF activities. Your HVHHF Permit Application will not be considered filed until one business day after all applicable documents are received by the Department. You will receive notice from this office when the application is considered filed.

Your registration number is **HVHHF-00001**, please use this identifier with your application. For detailed instructions and forms go to: <http://www.dnr.illinois.gov/OILANDGAS/Pages/Hydraulicfracturing.aspx>

Please email any questions or requests for assistance to: [DNR.IHFHelp@partner.illinois.gov](mailto:DNR.IHFHelp@partner.illinois.gov).

Sincerely,

Douglas Shutt  
Permitting & Technical Services Unit  
217 782-3718  
[Doug.shutt@illinois.gov](mailto:Doug.shutt@illinois.gov)

DS



# ILLINOIS DEPARTMENT OF NATURAL RESOURCES

Office of Oil and Gas Resource Management

One Natural Resources Way  
Springfield, Illinois 62702-1271



## HIGH VOLUME HORIZONTAL HYDRAULIC FRACTURING REGISTRATION FORM HVHFF-01

Initial Registration <input checked="" type="checkbox"/>	Annual Submission <input type="checkbox"/>	Update <input type="checkbox"/>
Registrants Name: Strata-X, Inc.		
Person Completing Form: Mr. David Hettich		Title: CFO, VP of Land
Mailing Address: 1550 Larimer Street, #263		
City: Denver		
State: Colorado		
Zip Code: 80202		
Registration # (if known):		

Has the registrant, parent, or any subsidiary/affiliate been found to be in serious violation of any federal or state laws, or regulations in the development or operation of an oil or gas exploration or production site via hydraulic fracturing within the last 5 years?

Yes ☐

No ☒

If Yes, provide a detailed description as to the nature of the violation(s) whether matters are resolved, or current status in Attachment 3

UNDER PENALTIES OF PERJURY, I DECLARE THAT I HAVE EXAMINED THIS REGISTRATION FORM, INCLUDING ACCOMPANYING STATEMENTS AND DOCUMENTS, AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT, AND COMPLETE.

PRINT NAME: Mr. David Hettich

SIGNATURE OF REGISTRANT

TITLE: CFO, VP of Land

DATE: 11/5/2014

### NOTE

1. This Registration Form is subject to change and therefore the registrant may be required to provide additional information after the adoption of the Hydraulic Fracturing Regulatory Act Administrative Rules.
2. Once your registration is approved, if any information changes, you must provide updates within 60 days of the change using this same form and marking the 'Update' box.
3. Applications for Hydraulic Fracturing permits will not be accepted until the adoption of the Hydraulic Fracturing Regulatory Act Administrative Rules.



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### **HIGH VOLUME HORIZONTAL HYDRAULIC FRACTURING REGISTRATION FORM HVHHF-01, Attachment 1 - Proof of Insurance**

Please provide proof of insurance to cover injuries, damages, or loss, related to pollution or diminution in the amount of at least \$5,000,000 from an insurance carrier authorized, licensed, or permitted to do this insurance business in this state, that holds at least an A- rating by A.M. Best & Co., or any comparable rating service (REF. 1-35(a)(3)).

**Please enter text or copy and paste the image of your proof of insurance:**

See attached.



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

11/5/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

**PRODUCER**

Moody Insurance Agency, Inc.  
8055 East Tufts Avenue  
Suite 1000  
Denver CO 80237

CONTACT NAME: Kara Smith, CISR, CRIS

PHONE (A/C No. Ext.): (303) 824-6600

FAX (A/C No.): (303) 370-0118

E-MAIL ADDRESS: ksmith@moodyins.com

**INSURER(S) AFFORDING COVERAGE**

NAIC #

INSURER A: St Paul Fire &amp; Marine Ins Co

24767

INSURER B: Pinnacol Assurance

41190

INSURER C:

INSURER D:

INSURER E:

INSURER F:

**INSURED**

Strata-X, Inc.  
1624 Market St.  
#300A  
Denver CO 80202

**COVERAGES**

CERTIFICATE NUMBER: 14-15 No Forms

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<b>GENERAL LIABILITY</b>						
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE \$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR				11/4/2014	11/4/2015	DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000
							MED EXP (Any one person) \$ 10,000
							PERSONAL & ADV INJURY \$ 1,000,000
							GENERAL AGGREGATE \$ 2,000,000
							PRODUCTS - COMPOP AGG \$ 2,000,000
	GENL AGGREGATE LIMIT APPLIES PER:						
	<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC						
A	<b>AUTOMOBILE LIABILITY</b>						
	<input checked="" type="checkbox"/> ANY AUTO						COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
	<input type="checkbox"/> ALL OWNED AUTOS				11/4/2014	11/4/2015	BODILY INJURY (Per person) \$
	<input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS						BODILY INJURY (Per accident) \$
							PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB						EACH OCCURRENCE \$ 4,000,000
	<input type="checkbox"/> EXCESS LIAB						AGGREGATE \$ 4,000,000
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000				11/4/2014	11/4/2015	
B	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b>						
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y/N	N/A				<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER
	If yes, describe under DESCRIPTION OF OPERATIONS below				5/1/2014	5/1/2015	E.L. EACH ACCIDENT \$ 100,000
							E.L. DISEASE - EA EMPLOYEE \$ 100,000
							E.L. DISEASE - POLICY LIMIT \$ 500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Pollution covers injuries, damages, or loss, related to pollution or diminution.

**CERTIFICATE HOLDER**

Illinois Department of Natural Resources  
Office of Oil and Gas Resource Management  
One Natural Resources Way  
Springfield, IL 62702-1271

**CANCELLATION**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

K Smith, CISR, CRIS/K





## ILLINOIS DEPARTMENT OF NATURAL RESOURCES

Office of Oil and Gas Resource Management

One Natural Resources Way  
Springfield, Illinois 62702-1271



### **HIGH VOLUME HORIZONTAL HYDRAULIC FRACTURING REGISTRATION FORM HVHHF-01, Attachment 2 – Parent Corporation, Subsidiaries, and/or Affiliates of Registrant**

Please provide Name and Address of any parent corporation, subsidiaries and/or affiliates related to the registrant.

List parent corporation first. Sort by State with Illinois entities listed first, and then sort within the State by Name.

**Please enter text**

The registrant, Strata-X, Inc., is a Colorado Corporation in good standing, authorized by the Illinois Secretary of State to conduct business in the State of Illinois. Strata-X, Inc. is a wholly owned subsidiary of its parent company, Strata-X Energy Ltd. Strata-X Energy Ltd is incorporated by Certificate of Incorporation issued pursuant to the provisions of the Business Corporations Act. The Registered office of Strata-X Energy Ltd is located at 2080-777 Hornby Street, Vancouver, British Columbia, Canada, V6Z 1S4.

The registrant, Strata-X, Inc. has no other parent corporation, subsidiaries and/or affiliates other than described herein.



## ILLINOIS DEPARTMENT OF NATURAL RESOURCES

Office of Mines and Minerals  
Division of Oil and Gas One Natural Resources Way  
(217) 782-7756 Springfield, Illinois 62702-1271



### HYDRAULIC FRACTURING PERMITTEE REGISTRATION FORM HVHF-01, Attachment 3 – Violation(s) and Resolution(s)

If the applicant, parent, or any subsidiary/affiliate has been found to be in serious violation of any federal or state laws, or regulations in the development or operation of an oil or gas exploration or production site via hydraulic fracturing within the last 5 years; please provide a detailed description as to the nature of the violation(s) and resolution(s).

Please sort by State, listing Illinois first, and then sort by violation, listing Fracking violations first.

**Please enter text for violations and resolutions:**

Not Applicable - the applicant, or its parent, have not been found to be in serious violation of any federal or state laws, or regulations in the development or operation of an oil or gas exploration or production site via hydraulic fracturing within the last 5 years.